

# Self Invested Personal Pension

## Transfer Form



# 1 Transferring member instructions

This form is your authority to transfer benefits from another registered pension scheme into the Self Invested Personal Pension administered by A J Bell Management Limited (the "receiving scheme"). This form is supplementary to your Application Form.

Please use BLOCK CAPITALS only and blue or black ink, ticking circles where appropriate.

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0845 25 05 609 or by e-mail [platinumsipp@ajbell.co.uk](mailto:platinumsipp@ajbell.co.uk).

You must complete all of the details requested on the following page and sign the declaration. Once completed please return the form to us at the address below.

**A J Bell Platinum**  
**Trafford House**  
**Chester Road**  
**Manchester**  
**M32 0RS**  
**Tel: 0845 25 05 609**  
**Fax: 0845 40 89 200**

## 2 To be completed by the transferring member

Full name of member	<input type="text"/>		
Date of birth	<input type="text"/>	Your SIPP reference (if known)	<input type="text"/>
Full name of transferring pension scheme (where known)	<input type="text"/>		
Existing plan number or policy number under transferring scheme	<input type="text"/>		
Full name of administrator of transferring scheme	<input type="text"/>		
Address of administrator of transferring scheme	<input type="text"/>		
Post code	<input type="text"/>	Country	<input type="text"/>
Is the transferring scheme a final salary pension scheme?	Yes	<input type="radio"/>	No <input type="radio"/>
If yes, please note that we will not accept transfers from final salary pension schemes unless you have receive advice on the transfer form from a suitable qualified financial adviser.			
Have you received advice from such an adviser?	Yes	<input type="radio"/>	No <input type="radio"/>
Is the transfer cash only?	Yes	<input type="radio"/>	No <input type="radio"/>
Approximate fund to be paid to the receiving scheme	<input type="text"/>		
Does this represent the full value of the benefits in the plan?	Yes	<input type="radio"/>	No <input type="radio"/>
Are the funds being transferred:			
a) Already entirely in drawdown	<input type="radio"/>		
b) Already partially in drawdown	<input type="radio"/>		
c) Not in drawdown	<input type="radio"/>		

## 3 Request for transfer

I authorise and instruct you to transfer funds from the plan(s) as listed in this form directly to the receiving scheme in the form of

1. A cash payment made by cheque, or such other method agreed with A J Bell or
2. An in specie transfer of assets held on my behalf (after deduction of any outstanding liabilities or charges). Where appropriate A J Bell will advise details of the nominee/parties to whom assets must be transferred. I understand that the existing investment manager or administrator of the transferring scheme is responsible for collecting dividends and tax reclaims, and realising all rights and entitlements in respect of the assets transferred and for passing them to the receiving scheme.

Where you have asked me to give you any original policy document(s) in return for the transfer of funds and I am unable to do so, I promise to accept responsibility for any claims, losses and expenses of any nature which you may incur as a result of having made the transfer(s) listed in this form.

I authorise you to release all necessary information to A J Bell Management Limited or A J Bell (PP) Trustees Limited to enable the transfer of funds to the receiving scheme. I agree that a copy of this authority should have the validity of the original.

I authorise you to obtain from and release to the financial adviser any additional information that may be required to enable the transfer of funds.

If an employer is paying contributions to any of the plans as listed in this form, I authorise you to release to that employer any relevant information in connection with the transfer of funds from the relevant plan(s).

Until this application is accepted and complete, the receiving scheme administrator's responsibility is limited to the return of the total payment(s) to the current plan(s).

Where the payment(s) made to the receiving scheme represent(s) all of the funds under the plan(s) listed in this form, then payment made as requested will discharge the current provider(s) of all claims and responsibilities in respect of the plan(s) listed.

Where the payment(s) made to the receiving scheme represent(s) part of the funds under the plan(s) listed in this form, then the current provider(s) will be discharged of all claims and responsibilities only in respect of the part of the plan(s) represented by the payment(s).

I promise to accept responsibility in respect of any claims, losses and expenses that A J Bell Management Limited or A J Bell (PP) Trustees Limited and the current provider(s) may incur as a result of any incorrect information provided by me in this application or of any failure on my part to comply with any aspect of this application.

I apply to the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SIPP. I confirm that the information provided relevant to my application to transfer benefits into my SIPP is correct and complete, to the best of my knowledge and belief.

I confirm that adviser remuneration must be paid in accordance with the adviser remuneration option selected previously.

I confirm that I have not received any advice or recommendation in relation to the transfer from, a representative of A J Bell Management Limited or A J Bell (PP) Trustees Limited.

### Additional declaration for transfers of income drawdown (if applicable)

I declare that all, or a part, of the transfer payment has been designated to provide income drawdown pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with income drawdown benefits under the receiving scheme and will be subject to the same maximum income limit (if any) as under the transferring scheme, until such time as a further review of this limit is triggered. I also understand that, where the maximum income limit has not been reviewed since 6 April 2011, the transfer may bring forward the date of the review of that limit.

Signature

Date

Name

## 4 Transferring scheme instructions

If you require any further forms to be completed by the transferring member please contact the member directly.

For a cash transfer, your cheque must be made payable to '[insert name of transferring member] SIPP' and sent to the address shown above.

For **all** transfers you must provide us with full details of the benefits being transferred. The information that you provide must confirm:

- That the transferring scheme is a registered pension scheme under Finance Act 2004.
- The transferring scheme's HMRC Pension Scheme Tax Reference.
- The Total Transfer Value.
- Whether the transfer contains any crystallised benefits and, if so, full details of them.
- Details of any transitional protection relating to the benefits or the transferring member and, if relevant, whether the transfer is part of a block transfer.
- Whether the transfer value derives from a Pension Sharing Order or Provision, or whether an Earmarking Order is in force in relation to the transferring benefits.

For **all** transfers you must also return this Transfer Authority Form, or a copy of it, to us at the address shown above.

## 5 Regulatory

A J Bell includes A J Bell Holdings Limited and its wholly owned subsidiaries A J Bell Management Limited, A J Bell Limited and A J Bell Securities Limited.

A J Bell Management Limited is authorised and regulated by the Financial Services Authority. A J Bell Securities Limited is a member of the London Stock Exchange and is authorised and regulated by the Financial Services Authority.

Sippdeal, Sippdealxtra and Sippcentre are platforms provided by A J Bell Management Limited. A J Bell Platinum SIPP is provided by A J Bell Management Limited. A J Bell Platinum SSAS is provided by A J Bell Limited.

The companies listed in the adjacent table are all registered in England and Wales at Trafford House, Chester Road, Manchester M32 0RS.

Company	Company Number	VAT Number
A J Bell Holdings Limited	4503206	833 5478 13
A J Bell Management Limited	3948391	759 3531 03
A J Bell Limited	3091664	639 0316 44
A J Bell Securities Limited	2723420	918 4226 21