

Small Self Administered Scheme

Transfer Form



Transferring member instructions

This form is your authority to transfer benefits from another registered pension scheme into A J Bell Limited. This form is supplementary to your Application Pack.

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0845 25 05 610 or by e-mail platinumssas@ajbell.co.uk.

You must complete all of the details requested on the following page and sign the declaration.

If the transfer is to be made in the form of a cash amount from the transferring scheme (a 'cash transfer') the form must be forwarded to the scheme administrator of the transferring scheme. Please do not return this form directly to us if a cash transfer is being made.

If the transfer is to take the form of the transfer of cash and other assets/investments (an 'in-specie transfer') the form must be returned to us at the address below. **Please also enclose a list of, and valuations for, assets being transferred.**

**A J Bell Platinum
Trafford House
Chester Road
Manchester
M32 0RS
Tel: 0845 25 05 610
Fax: 0845 40 89 200**

To be completed by the transferring member

Full name of member	<input type="text"/>		
Date of birth	<input type="text"/>	<input type="text"/>	Your SSAS reference (if known) <input type="text"/>
Transferring scheme name	<input type="text"/>		
Member's reference or policy number under transferring scheme	<input type="text"/>		
Name of administrator of transferring scheme	<input type="text"/>		
Address of administrator of transferring scheme	<input type="text"/>		
Post code	<input type="text"/>	Country	<input type="text"/>

Request for transfer

I request the scheme administrator of the transferring scheme to transfer the value of my benefits under the transferring scheme to the receiving scheme in the form of:-

1. A cash payment made by cheque, or such other method agreed with A J Bell Limited; or
2. An in-specie transfer of assets held on my behalf (after deduction of any outstanding liabilities or charges). Where appropriate A J Bell Limited will advise details of the nominee/parties to whom assets must be transferred. I understand that the existing investment manager or administrator of the transferring scheme is responsible for collecting dividends and tax reclaims, and realising all rights and entitlements in respect of the assets transferred and for passing them to the receiving scheme.

I authorise the scheme administrator, provider or insurer of the transferring scheme to provide A J Bell Limited or A J Bell Trustees Limited with any information they request regarding my benefits under the transferring scheme. I agree that a copy of this authority should have the validity of the original.

I apply to the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SSAS. I confirm that the information provided relevant to my application to transfer benefits into my SSAS is correct and complete, to the best of my knowledge and belief.

I confirm that I have not received any advice or recommendation in relation to the transfer from, a representative of A J Bell Limited or A J Bell Trustees Limited.

Additional declaration for transfers of income drawdown benefits (if applicable)

I declare that all, or a part, of the transfer payment has been designated to provide income drawdown pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with income drawdown benefits under the receiving scheme and will be subject to the same maximum income limit (if any) as under the transferring scheme, until such time as a further review of this limit is triggered. I also understand that, where the maximum income limit has not been reviewed since 6 April 2011, the transfer may bring forward the date of the review of that limit.

Signed

Date

Transferring scheme instructions

If you require any further forms to be completed by the transferring member please contact the member directly.

For a cash transfer, your cheque should be made payable to 'the receiving scheme name' and sent to the address shown above.

For **all** transfers you must provide us with full details of the benefits being transferred. The information that you provide must confirm:

- That the transferring scheme is a registered pension scheme under Finance Act 2004.
- The transferring scheme's HMRC Pension Scheme Tax Reference.
- The Total Transfer Value.
- Whether the transfer contains any crystallised benefits and, if so, full details of them.
- Details of any transitional protection relating to the benefits or the transferring member and, if relevant, whether the transfer is part of a block transfer.
- Whether the transfer value derives from a Pension Sharing Order or Provision, or whether an Earmarking Order is in force in relation to the transferring benefits.

For information, the receiving scheme is a registered pension scheme under Finance Act 2004. It is not an Appropriate Scheme and therefore cannot receive transfers in respect of 'contracted-out' liabilities. If you cannot pay a transfer payment that consists wholly of non-protected rights then please notify the transferring member accordingly.

For **all** transfers you must also return this Transfer Authority Form, or a copy of it, to us at the address shown above.

Regulatory

A J Bell includes A J Bell Holdings Limited and its wholly owned subsidiaries A J Bell Management Limited, A J Bell Limited and A J Bell Securities Limited.

A J Bell Management Limited is authorised and regulated by the Financial Services Authority. A J Bell Securities Limited is a member of the London Stock Exchange and is authorised and regulated by the Financial Services Authority.

Sippdeal, Sippdealtra and Sippcentre are platforms provided by A J Bell Management Limited. A J Bell Platinum SIPP is provided by A J Bell Management Limited. A J Bell Platinum SSAS is provided by A J Bell Limited.

The companies listed in the adjacent table are all registered in England and Wales at Trafford House, Chester Road, Manchester M32 0RS.

Company	Company Number	VAT Number
A J Bell Holdings Limited	4503206	833 5478 13
A J Bell Management Limited	3948391	759 3531 03
A J Bell Limited	3091664	639 0316 44
A J Bell Securities Limited	2723420	918 4226 21